



06-12-01

G.P/2632  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/779,076
	Filing Date	02-07-2001
	First Named Inventor	James M. ROCHELLE
	Group Art Unit	2632
	Examiner Name	
Total Number of Pages in This Submission	23	Attorney Docket Number 26053.00

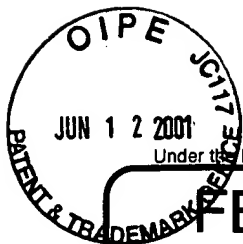
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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Receipt Postcard Check \$55.00
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	J. Kenneth Hoffmeister
Signature	
Date	12 JUNE 2001

CERTIFICATE OF EXPRESS MAIL		EL629935006US
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 6/12/2001		
Typed or printed name	Sheri A. Webb	
Signature		Date 6/12/2001

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 55

## Complete if Known

Application Number	09/779,076
Filing Date	02-07-2001
First Named Inventor	James M. ROCHELLE
Examiner Name	
Group Art Unit	2632
Attorney Docket No.	26053.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

16-1910

Deposit  
Account  
Name

Pitts & Brittan, P.C.

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710 201 355	Utility filing fee	
106	320 206 160	Design filing fee	
107	490 207 245	Plant filing fee	
108	710 208 355	Reissue filing fee	
114	150 214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 0

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18 203 9	Claims in excess of 20
102	80 202 40	Independent claims in excess of 3
104	270 204 135	Multiple dependent claim, if not paid
109	80 209 40	** Reissue independent claims over original patent
110	18 210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130 205 65	Surcharge - late filing fee or oath	
127	50 227 25	Surcharge - late provisional filing fee or cover sheet.	
139	130 139 130	Non-English specification	
147	2,520 147 2,520	For filing a request for <i>ex parte</i> reexamination	
112	920* 112 920*	Requesting publication of SIR prior to Examiner action	
113	1,840* 113 1,840*	Requesting publication of SIR after Examiner action	
115	110 215 55	Extension for reply within first month	55
116	390 216 195	Extension for reply within second month	
117	890 217 445	Extension for reply within third month	
118	1,390 218 695	Extension for reply within fourth month	
128	1,890 228 945	Extension for reply within fifth month	
119	310 219 155	Notice of Appeal	
120	310 220 155	Filing a brief in support of an appeal	
121	270 221 135	Request for oral hearing	
138	1,510 138 1,510	Petition to institute a public use proceeding	
140	110 240 55	Petition to revive - unavoidable	
141	1,240 241 620	Petition to revive - unintentional	
142	1,240 242 620	Utility issue fee (or reissue)	
143	440 243 220	Design issue fee	
144	600 244 300	Plant issue fee	
122	130 122 130	Petitions to the Commissioner	
123	50 123 50	Petitions related to provisional applications	
126	240 126 240	Submission of Information Disclosure Stmt	
581	40 581 40	Recording each patent assignment per property (times number of properties)	
146	710 246 355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710 249 355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710 279 355	Request for Continued Examination (RCE)	
169	900 269 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 55

## SUBMITTED BY

Name (Print/Type)	J. Kenneth Hoffmeister	Registration No. (Attorney/Agent)	43,675	Telephone	(865) 584-0105
Signature		Date	12 JUNE 2001		

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/779,076	02/07/2001	James M. Rochelle	26053.00

CONFIRMATION NO. 7830

## FORMALITIES LETTER



\*OC000000006149377\*

J. Kenneth Hoffmeister  
Pitts & Brittan, P.C.  
P.O. Box 51295  
Knoxville, TN 37950-1295

Date Mailed: 06/05/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

SG

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